12-19-0) /
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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box —— Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. P02046US1 (10023489) UTILITY Judith F. Margolin et al. PATENT APPLICATION First Inventor à, TREM-1 SPLICE VARIANT, etc TRANSMITTAL Express Mail Label No. ET883324644US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Box Patent Application **APPLICATION ELEMENTS** U.S. Patent and Trademark Office ADDRESS TO: P. O. Box 2327 See MPEP chapter 600 concerning utility patent application contents. Arlington, VA 22202 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or nit an original, and a duplicate for fee pro Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission Х (if applicable, all necessary) See 37 CFR 1.27 X Computer Readable Form (CRF) [Total Pages 56 Х Specification (preferred arrangement set forth below)
- Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or x paper Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table x Statements verifying identity of above copies or a computer program listing appendix

- Background of the Invention ACCOMPANYING APPLICATIONS PARTS Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) 9. - Claim(s)
- Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of 10. Attorney (when there is an assignee) [Total Sheets English Translation Document (if applicable) X Drawing(s) (35 U.S.C. 113) 11 Information Disclosure Copies of IDS 12. 5. Oath or Declaration [Total Pages Statement (IDS)/PTO-1449 Citations 13 Preliminary Amendment Newly executed (original or copy) Return Receipt Postcard (MPEP 503) Copy from a prior application (37 CFR 1.63(d)) Х 14. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15 DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1.33(b) 16. Applicant must attach form PTO/SB/35 or its equivalent Other: 17. Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application 18. Data Sheet under 37 CFR 1 76: Continuation-in-part (CIP) of prior application No.: Divisional Continuation Group / Art Unit: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 26,271 Correspondence address below Customer Number or Bar Code Label Х Name Address State City Fax Country Telephone 45,872 Melissa W. Acosta Registration No. (Attorney/Agent) Name (Print/Type) December 7, 2001 Date Signature Transmittal-New Utility Patent Application I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET883324644US, in an envelope addressed to: Box Patent Application, U.S. Patent and Trademark Office, Washington, DC 20231, on the date shown

Dated: December 7, 2001

(Staci V. Harris)

PTO/SB/17 (11-01)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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CEE TO ANOMITTAL			Complete if Known				
FEE TRANSMITTAL for FY 2002		Application Number Not Yet Assigned					
		Filing Date			December 7, 2001	December 7, 2001	
Patent fees are subject to annual revision. First Named Inventor		ntor Judith F. Margolin et al.	Judith F. Margolin et al.				
Examiner Name		ame	Not Yet Assigned				
X Application claims small entity status. See 37 CFR 1.27 Group A		Art U	nit	N/A			
TOTAL AMOUNT OF PAYMENT (\$) 826.00		Attorney Docket No.		cket No	. HO-P02046US1	HO-P02046US1	
METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)							
Chack Credit Money Other None	Other None 3. ADDITIONAL FEES						
Card Corder Conder Conder Conder Conden Cond							
X Deposit Account Large Entity Small Entity							
Deposit Account 06-2375	Fee	Fee	Fee	Fee	- Fee Description		
Number	Code	(\$)	Code	(\$)	1	Fee Paid	
Account Fulbright & Jaworski L.L.P.	105.	130	205	65	Surcharge – late filing fee or oath		
Name The Commissioner is hereby authorized to: (check all that apply)	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.		
X Charge fee(s) indicated below X Credit any overpayments	139	130	139	130	Non-English specification		
Charge any additional fee(s) during the pendency of this	147	2,520	147	2,520	For filing a request for ex parte reexamination		
application Charge fee(s) indicated below, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to		
to the above-identified deposit account.	113	1,840*	113	1,840*	Examiner action Requesting publication of SIR after		
FEE CALCULATION	115	110	215	55	Examiner action Extension for reply within first month		
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month		
Large Entity Small Entity	117	920	217	460	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month		
101 740 201 370 Utility filing fee 370.00	128	1,960	228	980	Extension for reply within fifth month		
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal		
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal		
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing		
114 160 214 80 Provisional filing fee	138 140	1,510 110	138 240	1,510 55	Petition to institute a public use proceeding Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 370.00	141	1,280	241	640	Petition to revive - unintentional	-	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	142	1,280	242	640	Utility issue fee (or reissue)		
Extra Fee from Foe Paid	143	460	243	230	Design issue fee		
Total Claims 38 -20** = 18 x 9 00 = 162.00	144	620	244	310	Plant issue fee		
Independent 10 -3** = 7 x 42.00 = 294.00	122	130	122	130	Petitions to the Commissioner		
Claims 7 A 42.55 22.55 Multiple Dependent =	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection		
102 84 202 42 Independent claims in excess of 3	149	740	249	370	(37 CFR 1.129(a)) For each additional invention to be	-	
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	179	740	279	370	examined (37CFR 1.129(b)) Request for Continued Examination (RCE)	<u> </u>	
109 84 209 42 ** Reissue independent claims over original patent	169	900	169	900	Request for expedited examination		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	l		l	330	of a design application		
SUBTOTAL (2) (\$) 456.00	ļ.	fee (spe	-	ilina Fee	Paid SUBTOTAL (3) (\$)	0.00	
SUBTOTAL (2) (\$) 456.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 **or number previously paid, if greater; For Reissues, see above							
SUBMITTED BY Complete (if applicable)							
Name (Print/Type) Melissa W. Acosta		ration N ey/Agent		5,872	Telephone (713) 651-5407		
Signature Date December 7, 2001							
1 VINVEV							
Fee Transmittal							
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below.							
Star (/ Harris							
Dated: December 7, 2001 Signature: 2)/VIII (Staci V. Harris)							

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Dated: December 7, 2001

Signature: Sufface (Staci Harris)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Judith F. Margolin, et al.

Docket No.: HO-P02046US1

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: December 7, 2001

Examiner: Not Yet Assigned

For: TREM-1 SPLICE VARIANT FOR USE IN MODIFYING IMMUNE RESPONSES

STATEMENT PURSUANT TO 37 CFR 1.823(b))

Box Sequence

U.S. Patent and Trademark Office Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Submitted herewith for filing in connection with the above-referenced patent application is a labeled, computer readable copy of the Sequence Listing included in the application.

I hereby state that I have reviewed the paper copy of the Sequence Listing contained on pages 1 to 13 of the specification of the above-referenced patent application, as required by 37 CFR 1.821(e), and the computer readable form of the Sequence Listing, as required by 37 CFR 1.821(c), and that the content of the paper and computer readable copies are the same.

Early favorable consideration of the patent application is respectfully solicited.

Dated: December 7, 2001

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Respectfully submitted.

Melissa W. Acosta

Registration No.: 45,872

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